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PATENTS
Application Serial No. 10/810,481
Attorney Docket No. CKB-082.03
(Formerly Attorney Docket No. C1104-7082.21)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re App	plication of:	)				
-	Mueller et al.	) )	Art Unit:	2828		
Application No: 10/810,481		)	Confirmation No.: 9785			
Filed:	March 26, 2004	)		011 . (01. ) / 00		
For:	Multicolored Lighting Method and Apparatus	) ) )	Examiner:	Haissa Philogen		
		)				

### **CERTIFICATE OF MAILING**

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 19, 2005.

Shirine Darvish

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION-DISCLOSURE STATEMENT

Sir/Madam:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office Action after the filing of a Request for Continued Examination Under 37 C.F.R. §1.114.

No fee is required.

### **PART II: Information Cited**

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants also wish to bring to the attention of the Examiner the following information:

Pursuant to MPEP §2001.06(c), Applicants bring to the Examiner's attention that two U.S. patents relating to the present application have been involved in litigation. These patents include U.S. Patent No. 6,016,038 and U.S. Patent No. 6,150,774, assigned to Color Kinetics, Inc. The present application is entitled to the benefit of at least one of these two patents, under 35 U.S.C. §120.

The aforementioned patents both are involved in the litigation Case No. 02 CV 11137MEL in the United States District Court, District of Massachusetts.

Applicants acknowledge the duty to disclose to the U.S. Patent Office material information that may arise from these litigations, including evidence of possible prior public use or sales, questions of inventorship, prior art, allegations of "fraud," "inequitable conduct," and "violation of duty of disclosure," or any assertion which is contradictory to assertions made to the Examiner during prosecution of the present application.

To this end, Applicants wish to make of record in the present application the following documents from the litigation, together with all exhibits filed therewith:

- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of
  Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment
  Against Super Vision International, Inc.," United States District Court, District of
  Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Jerry Laidman in Opposition of Color Kinetics, Inc.'s Motion for Summary

Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

- Color Kinetics Incorporated v. Super Vision International, Inc., "Affidavit of Alfred D. Ducharme," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Clive Mitchell in Opposition of Color Kinetics Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Bruce Hagopian in Support of Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of William Little in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision Intentional, Inc.'s Notice of Filing Declaration of Brent W. Brown," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Amended Declaration of George G.
   Izenour," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Paul A. Miller," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Brett Kingstone in Opposition to Color Kinetic's Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Applicants make no representation that the above-identified document(s) provide information material to the examination of the present application. Applicants make

these documents of record in the present application primarily for completeness to facilitate the Examiner's understanding of the nature of the litigation. The Examiner is urged to form his or her own conclusion regarding the relevance of the information contained in these documents.

### **PART III: Additional Remarks**

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, again the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 06-1448, Reference CKB-082.03.

Respectfully submitted,

Date: July 19, 2005 Customer No: 25181

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INITIAL	DOCUMENT NUMBER	DAT	E NAME	CLA	.SS	SUBCLASS	IF APP	ROPRIATE				
<del></del>	US2004/0218387		Gerlach									
<u> </u>	US2005/0122064	06/09/2	O05 Chevalier et al.									
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	5,136,483	08/04/19	992 Schöniger et al.									
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	<u> </u>	1	OREIGN PATENT DOC	UMENTS								
	DOCUMENT NUMBER	DATI	COUNTRY	CLA	SS	SUBCLASS	Trai YES	nslation NO				
	JP 10-071951	17.03.19	98 Japan					Abstract Only				
	WO 97/48138	18.12.19										
			CUMENTS	(Including	g Author	, Title, Date, Pert	inent Page	es Etc.)				
	and "Ultra-Bright LED R	eplacement	Releases, "Conversion to LED System s Offered for Industrial Control, Moto	Provides Safe, Cost-Effer Control, Pilot Lights,"	ective Lig June 30,	ghting for Safelig 1997	ht Manufa	cturing,"				
	Color Kinetics Incorpora Summary Judgment Aga MEL.	Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Wayne Howell in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.										
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	Color Kinetics Incorpora Massachusetts, Case No.	ted v. Super 02 CV 111	Vision International, Inc., "Affidavit of MEL.	of Alfred D. Ducharme,"	United !	States District Co	urt, Distric	ct of				

Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Clive Mitchell in Opposition of Color Kinetics Inc.'s Motion for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Sheet Page 2 of 2 orm PTO-1449 Docket Number (Optional) Application Number INFORMATION DISCLOSURE CITATION CKB-082.03 10/810,481 Applicant IN AN APPLICATION Mueller et al. (Use several sheets if necessary) Filing Date Group Art Unit March 26, 2004 Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Bruce Hagopian in Support of Super Vision International, Inc.'s Motion for Summary Judgment Against Color Kinetics, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL. Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of William Little in Opposition to Color Kinetics's Motions for Summary Judgment Against Super Vision International, Inc.," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL. Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision Intentional, Inc.'s Notice of Filing Declaration of Brent W. Brown." United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL. Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Amended Declaration of George G. Izenour," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL. Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Paul A. Miller," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL. Color Kinetics Incorporated v. Super Vision International, Inc., "Declaration of Brett Kingstone in Opposition to Color Kinetic's Motion for Summary Judgment Against Super Vision International, Inc.," United States\_District Court, District of Massachusetts, Case No. 02 CV 11137 EXAMINER DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.